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FACSIMILE TRANSMITTAL SHEET

Date: September 7, 2004

File No.: AWI90101

From: John R. Uren

To: Examiner Ivars C. CINTINS, Group Art Unit 1724  
Appln. Serial No. 09/303,306

Facsimile No.: (703) 872-9306 Telephone No.: (571) 272-1155

Firm: United States Patent and Trademark Office

City: Alexandria, Virginia 22313-1450

Number of pages being transmitted (including this page): 15

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Atty. Docket No. AWI90101

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: :  
HAMBLEY, DAVID M. and :  
HAMBLEY, PHILIP : Examiner: Ivars C. CINTINS  
Serial No.: 09/303,306 : Group Art Unit: 1724  
Filed: April 30, 1999 :  
For: FILTER UNDERDRAIN SYSTEM :  
FOR BACKWASH FLOW AND METHOD :  
FOR MEASURING SAME :

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AMENDMENT TRANSMITTAL LETTER

BY FACSIMILE (703 872-9306)  
Honorable Commissioner of Patent and Trademarks  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Transmitted herewith is a response to the Notice of Non-Compliant Amendment under 37 CFR 1.121 dated August 6, 2004.

- [x] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

Total claims remaining after amendment.....	39
(Minus) Highest no. previously paid for....	<u>39</u>
(NOTE: A fee is paid for each claim in excess of twenty(20))	<u>0*</u>

- 2 -

Total independent claims after amendment... 9  
 (Minus) Highest no. previously paid for.... 9  
 (NOTE: A fee is paid for each independent 0\*\*  
 claim in excess of three(3))

First presentation of multiple dependent  
 claim.....0\*\*\*  
 (NOTE: A fee is paid for each multiple dependent claim)

<u>Small Entity</u>	<u>Large Entity</u>
*0 x \$9.00 0.00	*0 x \$18.00 0.00
**0 x \$39.00 0.00	**0 x \$78.00 0.00
***0 x \$130.00 <u>0.00</u>	***0 x \$260.00 <u>0.00</u>

Total Fee: 0.00 Total Fee 0.00

- No additional fee is required.
- Our check is enclosed.
- Please charge deposit account no. 21-0885. A duplicate copy of this form is attached.
- Please charge any additional fees due, or credit any overpayment, to deposit account no. 21-0885. A duplicate copy of this form is attached.

Respectfully submitted,

HAMBLEY, DAVID et al

By:

John R. Uren  
Regn. No. 27,530

Date: September 7, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FOR MEASURING SAME :  
-----

RESPONSE TO NOTICE OF  
NON-COMPLIANT AMENDMENT (37 CFR 1.121)

BY FACSIMILE (703 872-9306)  
Honourable Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This is responsive to the Notice of Non-Compliant Amendment under 37 CFR 1.121 dated August 6, 2004 and will replace the paper filed July 21, 2004 and entitled "RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT UNDER 37 CFR 1.111" in its entirety.

Applicant requests that the above-identified application be amended as follows:

Amendments to the Specification begin on page 3 of this paper.

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**Amendments to the Claims are reflected in the listing  
of claims which begins on page 5 of this paper.**

**Remarks/Arguments begin on page 10 of this paper.**